

02.28.02

13054.02620

Express Mail Label No. EL 845469643 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification  
of this Application:

☐ Class ☐ Subclass  
Prior Application

Examiner: O. ZAGMMOUNT  
Art Unit: 1638

DIVISIONAL APPLICATION TRANSMITTAL

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This is a request for filing a ☐ continuation ☒ divisional application pursuant to 37 C.F.R. § 1.53(b), of pending prior application:

Serial No. 08/843,572 filed April 18, 1997, by Elaine TOBIN, et al. (inventor(s) currently of record in prior application) for PHOTOCHROME REGULATED TRANSCRIPTION FACTOR FOR CONTROL OF HIGHER PLANT DEVELOPMENT.

1. ☒ Enclosed is a copy of the prior application, including the oath or declaration as originally filed, or a replacement specification which does not add new matter.
2. ☒ The filing fee is calculated below:

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	3	-20	0	LG=\$18 SM=\$9	\$9	0	0
B	INDEPENDENT CLAIMS FEE*	3	-3	0	LG=\$84 SM=\$42	\$42	0	0
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 0
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140		\$ 0
E	BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370		\$ 370
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 370
*LIST INDEPENDENT CLAIMS 1,6,7								

3. ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-1796, referencing docket number 13054.02620. A copy of this sheet is enclosed.
4. ☐ A check in the amount of \$\_\_\_\_ is enclosed.

5. ☒ Cancel in this application original claim(s) 2-5 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

6. ☒ Amend the specification by inserting before the first line the sentence:

This is a ☐ continuation ☒ divisional of application Serial No. 08/843,572 filed April 18, 1997, which application is hereby incorporated by reference in its entirety.

- 7a. ☒ New formal drawings are enclosed.

- 7b. ☐ Priority of application Serial No(s).:

[COUNTRY] application Serial No. [APPL'N #] filed [FILING DATE] and [COUNTRY] application Serial No. [APPL'N #] filed [FILING DATE] is claimed under 35 U.S.C. § 119.

- 7c. ☐ The certified copy of the priority application was filed in prior application Serial No. [APPL'N #], filed [FILING DATE].

8. ☒ The prior application is assigned of record to: The Regents of University of California, Oakland, California.

9. a. ☒ The power appears in the original papers in the prior application.  
b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.  
c. ☒ Address all future communications to:

Stefan J. Kirchanski  
CROSBY, HEAFEY, ROACH & MAY  
1901 Avenue of the Stars, Suite 700  
Los Angeles, CA 90067  
Phone: (310) 734-5200  
Fax: (310) 734-5299

CORRESPONDENCE ADDRESS:

☒ Customer Number 28983

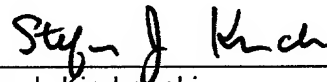


- d. ☒ A new Power of Attorney by Assignee is enclosed.
10. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

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11. ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Applicant no longer claims small entity status.
12. ☐ Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i) for non-publication is enclosed.
13. ☐ An Information Disclosure Statement listing \_\_\_\_\_ references is enclosed.

Date: February 25, 2002

  
\_\_\_\_\_  
Stefan J. Kirchanski  
Registration No. 36,568

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02-28-02

PATENT

Attorney Docket No: 13054.02620

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of:

SHANBROM, Edward

Serial No: (Not Assigned; Parent: 08/843,572)

Filed: April 18, 1997

For: PHOTOCHROME REGULATED TRANSCRIPTION  
FACTOR FOR CONTROL OF HIGHER PLANT  
DEVELOPMENT

Art Unit: (Not Assigned)

Examiner: (Not Assigned)

11011 U.S. PTO  
10/084553  
02/25/02

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Date of Deposit: February 25, 2002

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a Divisional Application Transmittal
- ☒ patent application ( 39 page(s) of specification; 3 claim(s); 1 page(s) of abstract
- ☒ 19 sheet(s) of formal drawings
- ☒ executed Declaration and Power of Attorney
- ☒ copy of recorded assignment of the invention to The Regents of University of California
- ☒ Power of Attorney by Assignee
- ☒ Assertion of Small Entity Status
- ☐ Information Disclosure Statement with      references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231.

Date: February 25, 2002

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Kathy Hinckley

Name of person mailing papers

Signature

**CROSBY, HEAFEY, ROACH & MAY**

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February 25, 2002

**VIA EXPRESS MAIL, LABEL NO. EL845469463US**

Box PATENT APPLICATION  
Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, DC 20231

Re: ASSERTION OF SMALL ENTITY STATUS  
U.S. Divisional Patent Application  
based on Serial No. 08/843,572  
Inventor(s) : Elaine TOBIN et al.  
PHOTOCHROME REGULATED TRANSCRIPTION FACTOR FOR  
CONTROL OF HIGHER PLANT DEVELOPMENT  
Filed : February 25, 2002  
Atty. Docket No. : 13054.02620

Dear Sir or Madam:

The undersigned attorney hereby asserts that the Patent Application entitled "PHOTOCHROME REGULATED TRANSCRIPTION FACTOR FOR CONTROL OF HIGHER PLANT DEVELOPMENT" by Elaine TOBIN et al. filed on February 25, 2002 is entitled to Small Entity Status. The required inquiry to establish Small Entity (§ 1.27(a)) status has been made.

Very truly yours,



Stefan J. Kirchanski  
Reg. No. 36,568

SJK:kh